Detailed Social and Environmental Screening Report

ASM-16546 - SESP (Social and Environmental Screening Procedure)

Procedure Year: 2024

Procedure Rating: Moderate

Procedure Status: Confirmed by PAC/Board

Procedure Name: ASM-16546

Procedure Type: Design

Procedure Department: HQ - BPPS-VFs Hub

Record Owner: Maria Lukina Lebedeva

Created by: Maria Lukina Lebedeva,6/16/2025, 1:10 AM **Last Modified by:** Radha Singla,1/13/2026, 6:47 AM

Portofolio/Project Type: Project **Initiating Portfolio/Project:** 01001935

RELATED PORTFOLIO MYWPS/PROJECTS (79)

NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01001935	PIMS-6731 Global Biodiversity Finance Programme	HQ - BPPS-VFs Hub	On Going		2/1/2024	3/31/2028
01002545	PIMS-9844 Biodiversity Finance Plan - Algeria	CO - Algeria - Algiers	On Going		3/6/2024	3/6/2028
01002575	PIMS-9849 Biodiversity Finance Plan Bahrain	CO - Bahrain - Manama	On Going		1/1/2024	12/31/2027
01002751	PIMS-9886 Biodiversity Finance Plan-Lesotho	CO - Lesotho - Maseru	On Going		1/1/2024	1/1/2027
01002804	PIMS-9933 Biodiversity Finance Plan Zimbabwe	CO - Zimbabwe - Harare	On Going		7/1/2024	12/31/2027
01001372	PIMS-9919 Biodiversity Finance Plan Suriname	CO - Suriname - Cty Pgmm	On Going		3/6/2024	2/28/2028
01001882	PIMS-9912 Biodiversity Finance Plan Serbia	CO - Serbia - Belgrade	On Going		12/1/2023	11/30/2027
01001893	PIMS-9909 Biodiversity Finance Plan Samoa	CO - Samoa - Apia	On Going		3/6/2024	3/6/2027
01001951	PIMS-9887 Biodiversity Finance Plan Liberia	CO - Liberia - Monrovia	On Going		1/1/2024	12/31/2027
01002019	PIMS-9907 Biodiversity Finance Plan Paraguay	CO - Paraguay - Asuncion	On Going		3/6/2024	11/30/2027
01002030	PIMS-9874 Biodiversity Finance Plan Ghana	CO - Ghana - Accra	On Going		3/6/2024	12/31/2027
01002025	PIMS-9848 Biodiversity Finance Plan (Azerbaijan)	CO - Azerbaijan - Baku	Financially Closed		3/1/2024	11/30/2027
01002041	PIMS-9868 Biodiversity Finance Plan Dominican Republic	CO - Dominican Republic	On Going		3/6/2024	2/28/2028
01002062	PIMS-9878 BIODIVERSITY FINANCE PLAN HAITI	CO - Haiti - Port-au-Prince	On Going		3/4/2024	2/28/2028

RELATED PORTFOLIO MYWPS/PROJECTS (79)

NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01002088	PIMS-9920 Umbrella Programme to Support Development of Biodiversity Finance Plans	CO - Tajikstan - Dushanbe	On Going		12/1/2023	11/30/2027
01002093	PIMS-9852 Biodiversity Finance Plan Benin	CO - Benin - Cotonou	On Going		3/6/2024	12/31/2027
01002096	PIMS-9859 Biodiversity Finance Plan Central African Republic	CO - Central African Republic	On Going		3/6/2024	3/6/2028
01002103	PIMS-9862 Biodiversity Finance Plan Rép. du Congo	CO - Congo - Brazzaville	On Going		3/6/2024	12/31/2027
01002111	PIMS-9901 Biodiversity Finance Plan Niue	CO - Niue - Cty Pgmm	On Going		3/6/2024	3/5/2027
01002112	PIMS-9863 Biodiversity Finance Plan Cook Islands	CO - Cooks Islands - Cty Pgmm	On Going		3/6/2024	3/5/2027
01002128	PNG-01002128 | PIMS-9906 Global Biodiversity Finance Programme- Papua New Guinea	CO - Papua New Guinea- Port Moresby	On Going		3/6/2024	2/28/2028
01002129	PIMS-9918 Biodiversity Finance Plan [Sudan]	CO - Sudan - Khartoum	On Going		1/1/2024	12/31/2027
01002142	PIMS-9885 Biodiversity Finance Plan Lao PDR	CO - Lao - Vientiane	On Going		3/6/2024	2/28/2028
01002144	PIMS-9910 Biodiversity Finance Plan SÃO TOMÉ AND PRINCIPE	CO - Sao Tome & Principe - Sao Tome	On Going		3/6/2024	11/30/2027
01002191	PIMS-9900 Biodiversity Finance Plan Nigeria	CO - Nigeria - Abuja	On Going		1/1/2024	12/31/2027
01002222	PIMS-9922 Biodiversity Finance Plan Togo	CO - Togo - Lome	On Going		3/6/2024	12/31/2027
01002316	PIMS-9870 Biodiversity Finance Plan Equatorial Guinea	CO - Equatorial Guinea - Malabo	On Going		3/6/2024	12/31/2027
01002332	PIMS-9889 Biodiversity Finance Plan Maldives	CO - Maldives - Male	On Going		3/6/2024	12/31/2028
01002345	PIMS-9855 Biodiversity Finance Plan Burkina Faso	CO - Burkina Faso - Ouagadougou	On Going		3/6/2024	2/5/2028
01002373	Programme-cadre de soutien à l'élaboration de plans de financement en faveur de la biodiversité	CO - Senegal - Dakar	On Going		3/6/2024	12/31/2027
01002370	PIMS-9916 Biodiversity Finance Plan South Sudan	CO - Sudan - South Sudan	On Going		1/1/2024	12/31/2027

RELATED PORTFOLIO MYWPS/PROJECTS (79)						
NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01002409	PIMS-9857 Biodiversity Finance Plan Cabo Verde	CO - Cape Verde - Praia	On Going		3/4/2024	2/28/2028
01002446	PIMS-9872 Biodiversity Finance Plan Ethiopia	CO - Ethiopia - Addis Ababa	On Going		3/6/2024	3/5/2028
01003199	PIMS-9846 Biodiversity Finance Plan Antigua & Barbuda, Barbados, Dominica, Grenada, St. Kitts & Nevis, Saint Lucia	CO - Barbados - Bridgetown	On Going		3/6/2024	3/6/2028
01003227	PIMS-9845 Global Biodiversity Finance Programme in Angola	CO - Angola - Luanda	On Going		3/6/2024	3/6/2028
01001947	PIMS-9873 Biodiversity Finance Plan Gambia	CO - Gambia - Banjul	On Going		3/4/2024	3/6/2028
01001822	PIMS-9847 Biodiversity Finance Plan Armenia	CO - Armenia - Yerevan	On Going		12/1/2023	11/30/2027
01003078	PIMS-9924 Bio-Diversity Finance Plan Trinidad & Tobago (TTO)	CO - Trinidad & Tobago-Port ofSpain	On Going		3/6/2024	3/6/2028
01001833	PIMS-9856 Biodiversity Finance Plan Burundi	CO - Burundi - Bujumbura	On Going		1/11/2024	12/31/2027
01003135	PIMS-9880 Biodiversity Finance Plan for Iraq	CO - Iraq - Baghdad	On Going		3/4/2024	2/28/2028
01001908	PIMS-9843 Biodiversity Finance Plan Albania	CO - Albania - Tirana	On Going		12/1/2023	11/30/2027
01002540	PIMS-9864 Biodiversity Finance Plan Côte d'Ivoire	CO - Cote D'Ivoire - Abidjan	On Going		5/1/2024	12/31/2027
01002065	PIMS-9883 Biodiversity Finance Plan Kenya	CO - Kenya - Nairobi	On Going		3/6/2024	2/28/2028
01002211	PIMS-9879 Biodiversity Finance Plan Honduras	CO - Honduras - Tegucigalpa	On Going		3/6/2024	3/5/2028
01002823	PIMS-9884 Biodiversity Finance Plan Kiribati, Marshall Islands, Micronesia, Nauru, Palau, Solomon Islands, Tonga, Tuvalu, Vanuatu	CO - Pacific Ofc - Fiji	On Going		3/6/2024	3/6/2028
01001825	PIMS-9921 Biodiversity Finance Plan Timor-Leste	CO - Timor-Leste	On Going		3/6/2024	2/28/2028
01001870	PIMS-9882 Biodiversity Finance Plan Jordan	CO - Jordan - Amman	On Going		1/17/2024	1/17/2028
01001879	PIMS-9896 Biodiversity Finance Plan Montenegro	CO - Montenegro - Podjorica	On Going		3/6/2024	2/28/2028
01001896	PIMS-9890 Umbrela Programme to Support Development of Biodiversity Finance Plans - Mali	CO - Mali - Bamako	On Going		3/6/2024	11/30/2027

RELATED PORTFOLIO MYWPS/PROJECTS (79)

NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01001942	PIMS-9927 Biodiversity Finance Plan Turkmenistan	CO - Turkmenistan - Ashgabad	On Going		3/4/2024	2/28/2028
01001944	PIMS-9858 Biodiversity Finance Plan Cameroon	CO - Cameroon - Yaounde	On Going		1/1/2024	12/31/2027
01001961	PIMS-9902 National Biodiversity Finance Plans - North Macedonia	CO - Republic of North Macedonia	On Going		9/2/2024	9/2/2027
01001998	PIMS-9854 Biodiversity Finance Plan Bosnia and Herzegovina	CO - Bosnia & Herzegovina-Sarajevo	On Going		3/6/2024	3/5/2028
01002011	PIMS-9876 Biodiversity Finance Plan of Guinea	CO - Guinea - Conakry	On Going		1/31/2024	12/31/2027
01002051	PIMS-9871 Biodiversity Finance Plan Eswatini	CO - Eswatini - Mbabane	On Going		3/1/2024	12/31/2027
01002559	PIMS-9853 Biodiversity Finance Plan Bolivia	CO - Bolivia - La Paz	On Going		3/6/2024	3/6/2028
01002090	PIMS-9860 Biodiversity Finance Plan Chad	CO - Chad - N'Djamena	On Going		3/4/2024	2/28/2028
01002068	PIMS-9866 Biodiversity Finance Plan Djibouti	CO - Djibouti - Djibouti	On Going		3/15/2024	11/30/2027
01002086	PIMS-9926 Biodiversity Finance Plan Turkiye	CO - Turkey - Ankara	On Going		3/6/2024	3/5/2028
01002105	PIMS-9895 Biodiversity Finance Plan Moldova	CO - Moldova Republic	On Going		3/6/2024	2/28/2028
01002173	PIMS-9881 Biodiversity Finance Plan Jamaica UNDP-JAM-01002173	CO - Jamaica - Kingston	On Going		3/6/2024	3/6/2028
01002188	URU/24/G32 PIMS-9929 Biodiversity Finance Plan Uruguay	CO - Uruguay - Montevideo	On Going		3/6/2024	3/6/2028
01002223	PIMS-9865 Biodiversity Finance Plan Democratic Republic of Congo	CO - Dem. Rep. of the Congo	On Going		3/14/2024	2/28/2028
01002240	PIMS-9893 GLOBAL BIODIVERSITY FINANCE PROGRAMME | MAURITIUS	CO - Mauritius - Port Louis	On Going		3/4/2024	12/31/2028
01002258	PIMS-9932 Biodiversity Finance Plan Yemen	CO - Republic of Yemen - Sana'a	On Going		3/6/2024	2/28/2028
01002260	PIMS-9850 Biodiversity Finance Plan Bangladesh	CO - Bangladesh - Dhaka	On Going		3/6/2024	3/6/2028
01002307	PIMS-9905 Biodiversity Finance Plan Panama	CO - Panama - Panama City	On Going		3/6/2024	3/6/2028

RELATED PORTFOLIO MYWPS/PROJECTS (79)						
NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01002323	PIMS-9897 Biodiversity Finance Plan Morocco	CO - Morocco - Rabat	On Going		4/1/2024	10/31/2028
01002353	PIMS-9888 Biodiversity Finance Plan Libya	CO - Libya - Tripoli	On Going		1/1/2024	3/31/2027
01002476	Namibia PIMS 9898 Biodiversity Finance Plan	CO - Namibia - Windhoek	On Going		3/6/2024	3/5/2028
01003162	PIMS-9861-Project Biodiversity Finance Plan Comoros BIOFIN	CO - Comoros - Moroni	On Going		1/23/2024	12/31/2027
01001933	PIMS-9903 Biodiversity Finance Plan Pakistan	CO - Pakistan - Islamabad	On Going		3/6/2024	3/6/2028
01001835	PIMS-9913 Global Programme on National Biodiversity Finance Plans umbrella programme	CO - Sierra Leone - Freetown	On Going		3/6/2024	3/6/2028
01001994	PIMS-9925 Biodiversity Finance Plan Tunisia	CO - Tunisia - Tunis	On Going		3/6/2024	3/6/2028
01002137	PIMS-9931 Biodiversity Finance Plan Venezuela	CO - Venezuela - Caracas	On Going		3/6/2024	3/6/2028
01002326	PIMS-9869 Biodiversity Finance Plan El Salvador	CO - El Salvador - San Salvador	On Going		3/6/2024	3/6/2028
01002119	PIMS-9892 Biodiversity Finance Plan Mauritania	CO - Mauritania - Nouakchott	On Going		2/22/2024	11/30/2027
01002167	PIMS-9915 Biodiversity Finance Plan-Somalia	CO - Somalia - Mogadiscio	On Going		3/4/2024	2/28/2028
01002160	PIMS-9877 Programme Biodiversity Finance Plans Guinea-Bissau	CO - Guinea-Bissau	On Going		4/1/2024	5/1/2028

RELATED QAS (1)		
PARENT PROCEDURE	PROCEDURE TYPE	PROCEDURE YEAR
ASM-19838	Quality Assurance - Design	2023

Triggered Requirements

Number of Identified Risks: 4

The Social and Environmental Screening Procedure (SESP) has indicated that, from a risk perspective, the following SES Principles and Standards are triggered for the project:

UNDP SES PRINCIPLES AND STANDARDS	# OF RISKS	RISK SIGNIFICANCE	TRIGGERED PRINCIPLES
Human Rights	2	Moderate	✓
Gender Equality and Women's Empowerment	1	Moderate	✓
Accountability	1	Moderate	✓

Assessment — Status: Complete

Is assessment required? (Question only required for Moderate, Substantial and High Risk portfolio MYWPs/projects)

Targeted assessment(s) required? Yes

If yes, please indicate the status of required assessment(s)? Planned

Comments (for instance, please indicate the assessment type) (10000 Characters maximum)

National-level stakeholder analysis
National-level Gender Analysis

Environmental and Social Impact Assessment (ESIA) required? No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Strategic Environmental and Social Assessment (SESA) required? No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Management Plans — Status: Complete

Are management plans required? (Question only required for Moderate, Substantial and High Risk portfolio MYWPs/projects)

Targeted management plan(s) required? Yes

If yes, please indicate the status of required management plan(s)? Planned

Comments (for instance, please indicate the type of management plan) (10000 Characters maximum)

National Stakeholder Engagement Strategy (with GRM incorporated)
Gender mainstreaming plan (integrated into BFP)

Environmental and Social Management Plan (ESMP) required? No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Environmental and Social Management Framework (ESMF) required? No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Approval Information

Approval Date: January 13, 2026

Approved By: Radha Singla <radha.singla@undp.org>

Confirmed Date: January 13, 2026

Confirmed By: Radha Singla <radha.singla@undp.org>

PAC/Board Date: November 5, 2023

PAC/Board Meeting minutes uploaded: ✓

Reassess Closed Procedure History:

1/8/2026: Procedure was re-opened back to reassess by Delcio Salu <delcio.salu@undp.org> with comments: The following procedure is reassessed as additional child projects have been added since the initial approval. In addition, the procedure is currently approved by the incorrect approver in the system.

APPROVAL HISTORY			
STEP NAME	DATE	STATUS	ASSIGNED TO
Confirmed by PAC/Board	2026-01-13 06:47:00	Approved	Radha Singla
Cleared for PAC/Board	2026-01-13 06:35:09	Approved	Radha Singla
Approval Request Submitted	2026-01-08 10:09:52	Started	Maria Lukina Lebedeva

Identified Risks & Treatments

Principle & Standard: Human Rights -2 Risk(s)

Risk Significance: Moderate

Triggered Principles: ✓

Risk Significance: Moderate

Event:

Risk Event 1: Governments have limited capacity to give adequate consideration to rights, cultural preferences and norms of all stakeholders.

Causes:

Causes:
(Cross-cutting)
Inadequate stakeholder analysis and limited capacity in national governments to ensure a whole-of-society approach and respect for human rights and cultural preferences of all stakeholder groups.

Cross-cutting

All Outputs under Component 5: Knowledge and Technical Assistance Platform

Impact:

Rightsholders are unable to claim their rights or express their views, with potential limitations on their ability to enjoy their human rights.
Lack of broad-based support Biodiversity Finance Plans and failure to deliver intended biodiversity

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 3 (design of interventions): Under Component 5 ,design and delivery of knowledge products and awareness-raising materials, webinars, e-learning tools and peer-to-peer learning opportunities must take cultural preferences, traditional knowledge and language proficiencies into account.	all stakeholders have equitable opportunities to engage, learn and benefit and are not excluded due to language or other cultural issues.	3/6/2028	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 1 (Assessment): Applying approaches embedded in the BIOFIN methodology, the Global Support team PMU will provide capacity support to participating governments to ensure a whole-of society approach in conducting assessments, setting up policy dialogues and multistakeholder roundtables and developing new biodiversity finance plans. A nationally-customized stakeholder analysis will be carried out in each participating country and should pay particular attention to capturing language and other cultural preferences and identifying the rights and differing development objectives of all identified groups (including IP&LC and vulnerable or marginalized groups, where these are present).	All stakeholder groups are able to participate fully and meaningfully and without prejudice in development and review of the biodiversity finance plans; project knowledge products, trainings and technical assistance interventions (under Component 5) are culturally-appropriate and take into account different development priorities, ways of learning and traditional knowledge of stakeholders.	12/31/2024	Ongoing	
Risk Treatment 2 (Management): Each country team supported by the global project will develop a simplified National Stakeholder Engagement Plan. The Coordination project will provide guidance on stakeholder engagement to Parties (through the global technical support facility).	socially-inclusive, rights-based and culturally-sensitive stakeholder involvement is fully embedded in the process of developing BFPs, setting targets, and designing specific activities. Where indigenous communities and other social groupings with specific needs, interests and development priorities are identified as stakeholders, consultation processes will be appropriately adapted (applying FPIC if appropriate).	12/31/2024	Ongoing	

Risk Significance: Moderate

Event:

Risk event 2:

Inequitable or discriminatory impacts (including restrictions on access to resources), particularly for those living in poverty or other marginalized or vulnerable groups.

Causes:

Reviews and assessments under Components 1 and 2, and Biodiversity Finance Plans under Component 3, may not have taken potential downstream social and environmental risks and impacts (during implementation) into account.

Impact:

Communities suffer access restrictions, economic displacement or other discriminatory impacts
The rights, interests, traditions and practices of Indigenous Peoples and other Local communities are compromised.
Conflicts could arise

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 3 (capacity strengthening and awareness): Under Component 5, the global project team will provide guidance to participating countries on: (i) how to undertake assessments and the kinds of awareness raising materials that can be developed (e.g. on potential environmental and social impacts that could result from financing mechanisms), and (ii) mainstreaming of social and environmental sustainability aspects within the dialogue processes for BFP development, where possible; (iii) training on setting up of country level Grievance Redress and Accountability Mechanisms (including private sector due diligence screening)	Social and environmental sustainability effectively mainstreamed into Biodiversity Finance Plans, and implementers are equipped to identify, monitor and address SES risks during implementation.	3/6/2028	Ongoing	
Risk Treatment 1 (Assessment): Under the BFP GCP, Activity 4.1.3 makes provision for the development of risk management plans or frameworks to avoid, reduce or mitigate potential environmental and social risks and impacts that may arise during implementation of the NBSAP. These should include, but may not be limited to: 1. Screening activities for potential social and environmental issues that could be triggered when the NBSAP is implemented, with attention paid to: (i) SES risks; (ii) enhanced social/environmental performance/outcomes Focus on: • Human Rights – capacity of duty-bearers and rightsholders • Social Inclusion and sustainability – especially vulnerable and marginalized stakeholders, including youth, elderly, IP&LCs	the Finance Plans are risk-informed and risk-prepared, with risk avoidance measures integrated into design	3/6/2028	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
• Gender Mainstreaming • Environmental Health and sustainability • Access to resources and land • Responsibility/Accountability and Transparency , monitoring, reporting and review) and Private Sector Due Diligence National regulatory instruments and international standards should be applied in addressing: • Social inclusion – have all relevant stakeholders been identified and are adequate provisions/strategies been developed to ensure their full participation in BFP planning, implementation, monitoring, reporting and review (specific attention should be paid to requirements for FPIC where Indigenous Peoples or other IP&LC are affected) • National legislative/policy/regulatory gaps and needs: do the financing activities trigger existing national regulatory requirements; are there any gaps in the existing regulatory/legislative/policy frameworks for managing potential adverse impacts associated with implementation of the Finance Plans • Compliance with international requirements/multilateral agreements or protocols and high-level principles for ensuring the integrity of financing mechanisms (e.g. those governing carbon markets or biodiversity credits, PES, etc) • Institutional capacity gaps: have institutional capacities for avoiding and mitigating adverse social and environmental impacts these been identified and what strategies will be required to address them • Potential socio-economic tradeoffs associated				
Risk Treatment 2 (Management): Based on the assessments: • Identify a simple set of steps and procedures to be followed to develop measures to avoid, reduce, mitigate/manage or offset potential adverse impacts - drawing mainly on national regulatory instruments and/or tools applied by designated implementing partners • Prepare an Action Matrix (or similar) to inform the design of the Biodiversity	Each BFP includes nationally-appropriate steps, procedures and measures for avoiding and/or mitigating downstream impacts during implementation	3/6/2028	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Finance Plans of the NBSAP and form part of their M&E plans) that: identifies priority areas for action (these can include short, medium and longer term actions and outcomes), with roles and responsibilities defined.				

Principle & Standard: Gender Equality and Women's Empowerment -1 Risk(s)

Risk Significance: Moderate

Triggered Principles: ✓

Risk Significance: Moderate

Event:

Risk Event 3: The project may reproduce existing gender discriminations against women and/or impose limitations on women's ability to participate in or benefit form manging natural resources

Causes:

Inadequate gender analysis and weak integration of gender-specific activities, indicators and targets into the BFPs.

Gender analysis and mainstreaming does not take into proper account the the role of women in accessing and using environmental goods and services or managing natural resources; or the differentiated impact on them of biodiversity finance mechanisms

Gender mainstreaming strategies may not include adequate measures to redress entrenched disparities affecting the involvement of women in decision-making that affects them or might not harness women's knowledge effectively

Impact:

BFPs do not improve the situation of women and girls and may worsen it.
Women are unable to participate fully or equitably in design and review
Financing strategies may limit the ability of women to access, use, and benefit from natural resources

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 2 (Management): The gender analysis will inform the development of a Gender Mainstreaming Strategy as part of each Biodiversity Finance Plan	Gender effectively mainstreamed into all aspects of BFPs.	12/31/2024	Ongoing	
Risk treatment 1 (Assessment): Assessments under Components 1, 2 and 3 should be informed by a rapid nationally customized gender analysis which should include, inter alia differential access to and control over natural resources and access to financial resources for doing this, gender-specific knowledge, interests and perceptions regarding biodiversity protection and its	nationally-customized gender issues are identified, analysed and understood to inform the development of a gender action plan under the Biodiversity Finance Plans.	12/31/2024	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
role in supporting sustainable development; women's roles in decision-making relating to biodiversity use and biodiversity finance and management, and national gender policy and priorities				
Risk Treatment 3 (gender mainstreaming support): The global coordination project under Component 5 will provide support to country teams on gender mainstreaming via the development of gender guidance materials, focused webinars and workshop presentations, delivered by a Gender and Safeguards Expert. Gender mainstreaming should start early in the national consultation processes.	Country teams are well-informed and able to ensure that gender is effectively mainstreamed into all aspects of consultation and design and implementation of the BFPs	3/6/2028	Ongoing	

Principle & Standard: Accountability -1 Risk(s)

Risk Significance: Moderate

Triggered Principles: ✓

Risk Significance: Moderate

Event:

Risk event 4: Exclusion of some stakeholders - especially those that are vulnerable or marginalized - from decision making that affects them, potentially leading to grievances

Causes:

Weak stakeholder analysis and/ or engagement strategies may lead to some stakeholder groups being excluded from planning processes or knowledge exchange platforms.

Impact:

Adverse impacts on the development priority of marginalised and vulnerable groups.
Lack of support for biodiversity finance plans and mechanisms

RELATED TREATMENTS (2)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 1 (Assessment and Management): A nationally-customized stakeholder analysis and stakeholder engagement strategy will identify all stakeholders, including marginalized and vulnerable groups or other stakeholders with specific needs and interests - different land-user collectives, Indigenous People and other IP&LC, those living in poverty	all stakeholder groups are identified and their needs, vulnerabilities, interests, and preferences are understood and inform customized engagement processes; socially-inclusive stakeholder involvement is fully embedded in the process of developing the BFPs. Where indigenous communities and other	12/31/2024	Ongoing	

RELATED TREATMENTS (2)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
and other vulnerable and/or marginalized groups.	social groupings with specific needs, interests and development priorities are identified as stakeholders, consultation processes will be appropriately adapted (applying FPIC if appropriate).			
Risk Treatment 2 (Accountability and Grievance Redress) : The Global Technical Support team will provide guidance to Parties on establishing a gender-sensitive and accessible GRM associated with the development of the BFPs. UNDP's Accountability Mechanism (www.undp.org/secu-srm) will also be available in relation to implementation of the Global Coordination Project.	Stakeholders have a culturally appropriate, formal channel which has national legitimacy and credibility through which to channel concerns and complaints about the BFP process	12/31/2024	Ongoing	

Questionnaire

Step 1 - Integrate the SES Programming Principles — Status: Complete

QUESTION 1: How Does the Portfolio MYWP/Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the portfolio MYWP/project mainstreams the human rights-based approach (32000 Characters maximum)

The initiative "Global Programme on National Biodiversity Finance Plans" is an enabling activity that directly supports the implementation of the new biodiversity targets under the new Global Biodiversity Framework (GBF), specifically it will focus on providing support to countries in developing: a) a national biodiversity finance policy and institutional review; b) an expenditure review to assesses spending related to biodiversity across all relevant sectors; c) an assessment of financial needs required to achieve the targets of the GBF; and, d) a National Biodiversity Finance Plan. This global umbrella programme will be supported by a Global Project Management and Technical Support Unit (GPMTSU).

As a global programme supporting the implementation of the GBF, this initiative recognizes and upholds human rights-principles as key elements in achieving development results. In this light, the initiative ensures equal, non-discriminatory, and active participation of all stakeholders in planning, decision making, implementation and evaluation of its activities and outcomes. In addition:

- The initiative promotes the active participation of multiple stakeholders and decision makers, and across different sectors, reflecting and aligning the work with the local/national needs, poverty reduction and development strategies.
- During the identification of possible finance solutions, a thorough analysis of possible implications at the socioeconomic level will be undertaken and this is part of the main methodology of the project. During future projects that implement the National Biodiversity Finance Plans, countries will have the opportunity to use the Finance Solution Proposal Template. This includes Social-Environmental standards and gender screening criteria, assessment of public consultation policy/institutional frameworks, analysis

of financial and socio-economic tradeoffs, and creation of policy dialogue multi-stakeholder platforms and accountability mechanisms at the country project/intervention levels.

- The project mainstreams the human rights-based approach by providing guidance and capacity building to Parties (through the global technical support provided by this project) on stakeholder engagement, social and environmental standards, and screening (SESP) principles and procedures, and in stakeholder response and grievance redress mechanism (GRM).

Stakeholders will be engaged to participate in the process, and the appropriate procedures such as multistakeholder dialogue platforms and meaningful participation will be prescribed, and set up at the recipient country level, based on national processes and policy frameworks, ensuring they meet international standards. The project will develop a simplified Stakeholder Engagement Plan/Template for each national process, with support from the GPMTSU.

Briefly describe in the space below how the portfolio MYWP/project is likely to improve gender equality and women's empowerment (32000 Characters maximum)

Building on the ongoing work of the Biodiversity Finance Initiative (BIOFIN), the project will continue to ensure equal participation of men and women in all its activities (Country Steering Committees, Project Boards, Workshops, and other capacity and decision-making processes). Gender aspects are mainstreamed in the Theory of Change, Project outputs, activities, and budget. Informative sessions will be organized in different regional workshops to promote and guide the country teams in mainstreaming gender equality in their work from planning to evaluation. Guidance is included in the central methodology, the 2018 BIOFIN Workbook aligned with the UNDP Gender Strategy. The Initiative will continue its work on a more thorough gender strategy being mainstreamed in its activities and results along with knowledge management for capacity development, replication, and upscaling of good practices. Documentation, case studies and knowledge generated by the Initiative will be gender-sensitive and inclusive of diverse groups among contributors and audiences. The project will continue its effort to promote gender equality and develop capacities contributing to the national gender equality agenda and globally at SDG 5. This project will develop a global guidance on gender mainstreaming in support to the implementation of national projects.

Briefly describe in the space below how the portfolio MYWP/project mainstreams sustainability and resilience (32000 Characters maximum)

Environmental sustainability is one of the core objectives the Global Programme on National Biodiversity Finance Plans, and is fully mainstreamed in all the activities, documentation and outcomes of the project, due to its very nature to aim to better understand biodiversity finance flows and effectiveness at the national level with the aim of improving how finance and economic instruments can be used to produce improved biodiversity and development outcomes and to begin to fill the finance gap. The programme brings countries together to develop evidence-based finance plans to safeguards biodiversity. Its related core programme BIOFIN has developed an innovative methodology to guide countries to analyze the policy and institutional context for biodiversity finance; measure the current biodiversity expenditures; assess future financial needs; and to develop a Biodiversity Finance Plan identifying the most suitable finance solutions to achieve national biodiversity targets. The goal of the Biodiversity Finance Plan is have an institution wide plan that may strengthen the implementation and mainstreaming of biodiversity finance.
In addition, as a precautionary measure, in relation to any follow up phase, resulting from future finance solution proposed under this project, that may result in downstream social or environmental risks for any Protected or other regulated Area/Territory, this project will promote awareness raising during its implementation phase, to enhance future application of social and environmental safeguards and procedures. Future projects may be managed by UNDP or other agencies and will apply relevant accountability mechanisms, including the UNDP SES, as per the GEF and the Agency responsible when required.

Briefly describe in the space below how the portfolio MYWP/project strengthens accountability to stakeholders (32000 Characters maximum)

In-country activities implemented under this global umbrella programme will include meaningful stakeholder engagement in the process of developing national biodiversity finance plans. In each programme country a national steering committee will be established to provide strategic direction and review and approve all national level outputs. These will be led by ministries of finance and environment, supplemented by other key affected stakeholders as per the national context.
This will be ensured by providing guidance (through the global technical support component of the project) to Parties on stakeholder engagement. Parties will also be provided with guidance on establishing a grievance redress mechanism (GRM) to ensure meaningful means for local communities and affected populations to raise concerns and/or grievances including enhancing national stakeholder response mechanisms, and informing on UNDP's Accountability Mechanism (www.undp.org/secu-srm).

Step 2.1 - SES Risk Screening Checklist (Programming Principles) Status: Complete

Human Rights

P.1 Have local communities or individuals raised human rights concerns regarding the portfolio MYWP/project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2 Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the portfolio MYWP/project?	Yes
P.3 Is there a risk that rights-holders (e.g. persons affected by programming activities) do not have the capacity to claim their rights?	Yes

Would the portfolio MYWP/project potentially involve or lead to:

P.4 Adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	Yes
P.5 Inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities?	Yes
P.6 Restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	Yes
P.7 Exacerbation of conflicts among and/or the risk of violence to affected communities and individuals?	Yes

Gender Equality and Women's Empowerment

P.8 Have women's groups/leaders raised gender equality concerns regarding the portfolio MYWP/project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No

Would the portfolio MYWP/project potentially involve or lead to:

P.9 Adverse impacts on gender equality and/or the situation of women and girls?	Yes
P.10 Reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	Yes
P.11 Limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?	Yes
P.12 Exacerbation of risks of gender-based violence?	No

Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below

Accountability

Would the portfolio MYWP/project potentially involve or lead to:

P.13 Exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	Yes
P.14 Grievances or objections from potentially affected stakeholders?	Yes
P.15 Risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project/portfolio?	No

Step 2.2 - SES Risk Screening Checklist (Standards)	Status: Complete

Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management

Would the portfolio MYWP/project potentially involve or lead to:

1.1 Adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?	No
1.2 Activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3 Changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods?	No
1.4 Risks to endangered species?	No
1.5 Exacerbation of illegal wildlife trade?	No
1.6 Introduction of invasive alien species?	No
1.7 Adverse impacts on soil?	No
1.8 Harvesting of natural forests, plantation development, or reforestation?	No
1.9 Significant agricultural production?	No
1.10 Animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11 Significant extraction, diversion or containment of surface or ground water?	No
1.12 Handling or utilization of genetically modified organisms/living modified organisms?	No
1.13 Utilization of genetic resources?	No
1.14 Adverse transboundary or global environmental concerns?	No

Standard 2: Climate Change and Disaster Risks

Would the portfolio MYWP/project potentially involve or lead to:

2.1 Areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	No
2.2 Outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters	No
2.3 Direct or indirect increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)?	No
2.4 Increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No

Standard 3: Community Health, Safety and Security

Would the portfolio MYWP/project potentially involve or lead to:

3.1 Construction and/or infrastructure development (e.g. roads, buildings, dams)?	No
3.2 Air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3 Harm or losses due to failure of structural elements of the programming activities (e.g. collapse of buildings or infrastructure)?	No
3.4 Risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5 Transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6 Adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No

3.7 Influx of portfolio MYWP/project workers to targeted areas?	No
3.8 Engagement of security personnel to protect facilities and property or to support portfolio MYWP/project activities?	No

Standard 4: Cultural Heritage

Would the portfolio MYWP/project potentially involve or lead to:

4.1 Activities adjacent to or within a Cultural Heritage site?	No
4.2 Significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3 Adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: portfolio MYWPs/projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4 Alterations to landscapes and natural features with cultural significance?	No
4.5 Utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No

Standard 5: Displacement and Resettlement

Would the portfolio MYWP/project potentially involve or lead to:

5.1 Temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No
5.2 Economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions - even in the absence of physical relocation)?	No
5.3 Risk of forced evictions?	No
5.4 Impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No

Standard 6: Indigenous Peoples

Would the portfolio MYWP/project potentially involve or lead to:

6.1 Areas where indigenous peoples are present (including project area of influence)?	No
6.2 Activities located on lands and territories claimed by indigenous peoples?	No
6.3 Impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the programming activities are located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)?	No
6.4 The absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5 The utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6 Forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7 Adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8 Risks to the physical and cultural survival of indigenous peoples?	No
6.9 Impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No

Standard 7: Labour and Working Conditions

Would the portfolio MYWP/project potentially involve or lead to: (note: applies to portfolio MYWP, project and contractor workers)

7.1 Working conditions that do not meet national labour laws and international commitments?	No
7.2 Working conditions that may deny freedom of association and collective bargaining?	No
7.3 Use of child labour?	No
7.4 Use of forced labour?	No
7.5 Discriminatory working conditions and/or lack of equal opportunity?	No
7.6 Occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the portfolio MYWP/project life-cycle?	No

Standard 8: Pollution Prevention and Resource Efficiency

Would the portfolio MYWP/project potentially involve or lead to:

8.1 The release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2 The generation of waste (both hazardous and non-hazardous)?	No
8.3 The manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4 The use of chemicals or materials subject to international bans or phase-outs?	No
8.5 The application of pesticides that may have a negative effect on the environment or human health?	No
8.6 Significant consumption of raw materials, energy, and/or water?	No